

METHOD OF PLASMA ETCHING OF SILICON

Method of Plasma Etching of Silicon
The present invention relates to a method of plasma etching, in particular of anisotropic plasma etching, of silicon according to the definition of the species of the independent claims.

5

Background Information

In German Published Application No. 197 06 682, ~~published under~~ German Patent 197 06 682 C2 describes a method of

10

anisotropic high-rate plasma etching of silicon with SiO_2 , formed from the addition of SiF_4 and O_2 to the actual SF_6 etching agent, being used as a side wall passivating material. At the same time, CHF_3 , CF_4 , C_2F_6 , or C_4F_8 are added to the etching gas continuously or at determined intervals as SiO_2 -consuming additives ("scavengers") in order to selectively strip the SiO_2 on the structure base.

15

Another high-rate etching method for silicon is ^{discussed} proposed, for example, in German Patent ^{No. 41 045} 42-41-045 C2, where a high-density plasma source using inductive high-frequency excitation (ICP source) or a special microwave excitation (PIE source) is used for releasing fluorine radicals from a fluorine-delivering etching gas and for releasing $(\text{CF}_2)_x$ radicals from a passivating gas that delivers teflon-forming monomers, to form a teflon-type passivating material, with etching gas and passivating gas being used alternately.

20

25

In German Published Patent Application No. 197 06 682, Finally, from German Patent Application 43 17 629 A1 it

is ~~known~~^{discussed} that a mixture of SF_6 or another fluorine-delivering etching gas and CHF_3 or another passivating gas forming teflon-type monomers can be exposed to a high-density plasma, so that the fluorine radicals etch the silicon structure base and at the same time the teflon type monomers form a passivating material on the structure side walls thus ensuring an anisotropic character of the etching process.

~~THE OBJECT OF THE PRESENT INVENTION IS TO IMPROVE THE OBJECT OF THE PRESENT INVENTION IS TO IMPROVE~~
The object of the present invention is to improve

existing plasma etching methods for silicon so that higher etching rates, lower profile deviations in etching, and better environmental compatibility of the process gas are ensured by using novel process gases.

Advantages of the Invention:

~~The exemplary method according to the present~~
The method according to the present invention having the characterizing features of the independent claims has the

advantage over the related art that it allows improved profile control and higher etching rates in the plasma etching process of silicon, in particular, in an anisotropic high-rate plasma etching process. At the same time, the process gases used are considerably more environmentally compatible than the process gases or additives used previously with respect to the greenhouse effect, and are therefore also available long-term.

Furthermore, when using fluorine-delivering etching gases ClF_3 , BrF_3 or IF_5 , large amounts of fluorine are released even at a relatively low plasma excitation, so that they are very efficient with regard to the excitation and the high silicon etching rates achieved, while not requiring

that the plasma source such as an inductive plasma source or a microwave plasma source deliver a high power.

Furthermore, it is advantageous that, in particular, ClF_3 when it decomposes to form ClF or BrF_3 when it decomposes to form BrF releases lighter and a larger number of fluorine radicals than the known SF_6 via its preferential decomposition reaction resulting in SF_4 . In addition, the reaction on decomposition of ClF_3 to ClF and 2F^* and of BrF_3 to BrF and 2F^* requires a much lower activation energy than the reaction of SF_6 to SF_4 and 2F^* . Thus, advantageously also fewer interference effects, capable of negatively affecting the etching profiles obtained, occur in the plasma source due to the lower high-frequency or microwave power required for producing the large amounts of fluorine radicals needed.

Further advantages result from the fact that when using interhalogen fluorides as fluorine-delivering etching gases, no sulfur precipitation can occur in the waste gas zone of the etching system, which would otherwise have to be eliminated or suppressed.

Finally, in particular ClF_3 and BrF_3 are chemically unstable and in air they easily hydrolyze forming HF plus HCl or HBr , respectively, with atmospheric moisture. Therefore, no greenhouse effect occurs with these compounds or gases, so that their industrial availability is guaranteed even long-term from the environmental point of view, which is not unconditionally true for SF_6 , for example.

NF_3 , an additive used from time to time in the process gas to consume the passivating material, in particular SiO_2 or

a teflon-type material, has the advantage over additives
based on ^{on the available} fluorocarbon compounds ~~known from the related~~
~~art~~ that considerably stronger stripping of the
dielectric layers masking the structure base is achieved,
5 so that it has to be used in considerably smaller amounts
in the respective plasma etching process compared to the
known additives, with the result that the overall process
is less subject to negative effects, in particular
dilution of the other active reagents, which otherwise
10 necessarily occurs.

Furthermore, the NF_3 additive has a relatively short life
in air compared to fluorocarbons (CHF_3 , CF_4 , C_3F_8 , C_4F_8 ,
 C_2F_6 , etc.) due to its weaker hydrolysis effect, which
15 also prevents the greenhouse effect from occurring. NF_3
reacts with atmospheric moisture even after a short time.
In contrast to fluorocarbons which act as greenhouse
gases, long-term industrial availability is also ensured
in this case.

20 Addition of a light and easily ionizable gas, i.e., of a
gas with a low atomic mass such as He, H_2 , or Ne, from
which slightly positively charged ions are obtained, to
the etching gas has the advantage that charging effects,
25 which manifest themselves as interference, in particular
at the junctions between electrically conductive silicon
and electrically insulating dielectric materials used,
for example, as masking materials or buried sacrificial
layers, are considerably reduced. Thus considerable
30 improvement in the etching profiles are obtained is
achieved, in particular at the junction of silicon with a
buried oxide layer, a polymer stop layer, or at the mask
edge, i.e., junction of the dielectric masking layer

(photoresist or hard material mask made of SiO_2) with the silicon to be etched.

5 This charging effect is based on the fact that negatively charged electrons, which act upon the wafer surface anisotropically, go preferentially to the side walls of the structure to be etched, so that the side walls become negatively charged with respect to the etching base.

10 These electrons move relatively freely within the electrically conductive silicon, while the positively charged ions on the electrically insulating etching base are stationary. Thus, the movable electrons tend to move into the junction region between silicon and the dielectric material, generating a strong electric field
15 there. In the steady-state case these fields on average result in exactly as many ions going to the side walls as there were electrons previously, since they are deflected by the electric fields of a similar strength toward the side wall. This effect is described in the literature as
20 the "notching phenomenon" and results in the formation of large pockets etched into the side wall.

25 The addition of a light, easily ionizable gas such as He advantageously reduces this formation of pockets considerably.

30 Another problem caused by electrical charging effects, which is also eliminated by the addition of a light, easily ionizable gas, occurs at the upper mask edge. The surface of a dielectric masking layer on the silicon wafer is negatively charged ("DC bias") by the "self-biasing" effect, often as a result of a high-frequency voltage applied to a conventional substrate electrode.

This charge is caused by the different mobilities of electrons and ions, i.e., in order to draw as many immobile ions as highly mobile electrons to the surface on average over time, a negative electrical bias must be built up there. If silicon is now etched in the openings of a masking layer, this accumulation of surface charges with respect to the newly produced silicon side wall results in concentration of electrons at the silicon to dielectric masking layer junction. Therefore ions are increasingly deflected into this upper part of the etched silicon trench, which also results in the formation of profile irregularities or pockets there. Finally, the addition of a light, easily ionizable gas to the etching gas has the advantage that the side wall film transport mechanism known from German Patent No. 42 41 045 is improved in that more polymer is stripped from the etching base and less from the side walls, i.e., selectivity is improved.

Advantageous refinements of the present invention are derived from the measures named in the subclaims.

Thus, it is believed to be particularly advantageous that the methods according to the present invention can be combined, with the advantages of the individual methods being preserved. In general, it may be advantageous to also add argon to dilute the etching gas, to the gas forming the passivating material, in particular SiF_4 , to the additive, or to one of the gases used as a reactant such as oxygen, nitrogen, carbon dioxide, or a nitrogen oxide.

In the mechanisms described above, overall the intensity of the electrical fields required to establish the

dynamic equilibrium between the incidence of ions and electrons directly depends on the ease with which the arriving ions can be deflected by electrical fields. ~~If~~ ~~According to selectively heavy ions are only~~ ~~is therefore obvious that relatively heavy ions are only~~ deflected by relatively high-intensity fields, while relatively light ions can be deflected even by relatively low-intensity fields, balancing the charges. By introducing a type of ion with a low atomic mass, it can be achieved to great advantage that only low field intensities are built up in the above-described regions and a sufficient number of these light ions is deflected even with these low field intensities so that they can balance the charges.

The heavy ions occurring in the etching process, for example, as ionized molecules or molecule fragments of the etching gas or additives are no longer deflected by these electrical fields due to their mass and associated inertia, but go directly to the etching base, where they can advantageously promote an etching reaction or etching base polymer stripping, for example. Therefore, the addition of the light, easily ionizable gas results in separation, which is overall very advantageous, between light ions, which balance the charges, and heavy ions, which ^{rather} preferably affect the etching base.

In addition to the inert gas helium as a light gas, the use of hydrogen (H_2) is also advantageous in some plasma etching processes, as long as it is compatible with the process chemistry. The hydrogen molecule in its ionized form has an atomic mass of only 2, and also in plasma it dissociates in a particularly easy manner into positively charged atoms having an atomic mass of 1.

~~Exemplary Embodiments~~

~~DETAILED DESCRIPTION~~

~~An exemplary~~

~~The first~~ embodiment is initially based on an anisotropic plasma etching process using a high-density plasma

source, for example, an ICP plasma source, an ECR plasma source, or a PIE plasma source, ~~as known from German~~ ~~Patent 197 06 682 C2.~~ ~~discussed in German Published Patent Application No. 197 06 682.~~

Instead of the fluorine-delivering etching gas SF_6 or NF_3 ,

~~used~~ in that patent, however, gaseous chlorine

trifluoride ClF_3 , bromine trifluoride BrF_3 , or iodine

pentafluoride IF_5 , or a mixture of these gases is added to

the process gas as the etching gas in ~~a first~~ ^{the exemplary} embodiment.

Chlorine trifluoride or bromine trifluoride, which can be

supplied directly via a mass flow controller, ~~is~~ ^{may}

~~preferably~~ used, since they have a sufficiently high

vapor pressure. When using liquid bromine trifluoride,

its temperature ~~is~~ ^{may be} preferably held above 20°C in order to

convert it into gaseous form. An inert carrier gas, for

example, argon, can also be added in ~~a known~~ ^{in a known} manner.

Helium can also be used instead of argon.

Furthermore, the SiO_2 -consuming additives, ~~known from~~ ^{known from} German Patent 197 06 682 C2 (CHF_3 , CF_4 , C_2F_6 , etc.) are

replaced by nitrogen trifluoride NF_3 , which is added to

the process gas continuously or at determined intervals.

This additive is used in particular for faster removal of

the passivating material from the etching base.

~~NF_3~~ decomposes under moderate plasma excitation, i.e.,

typical ICP excitation conditions, preferably into

radical fragments NF_x (where $x = 1, 2$), which react in an

extremely aggressive manner with dielectric materials and

thus act as very effective stripping reagents with respect to SiO_2 , SiN , SiO_xN_y (silicon oxynitride) or teflon-type materials.

5 The amounts of fluorine released at the same time by the dissociation of NF_3 are almost negligible in comparison with the amounts of fluorine from the fluorine-delivering etching gases, for example, ClF_3 or BrF_3 , and also contribute to the silicon etching reaction.

10 Passivation of the structure side walls in the process is unchanged with respect to the teaching of German Patent 197 06 682 C2 due to the addition, at least from time to time, of SiF_4 and a reagent selected from the group O_2 ,
15 N_2O , NO , NO_x , CO_2 , NO_2 , or N_2 to the process gas. Oxygen is preferred.

20 Regarding the other process parameters (in particular gas flow rates, process pressures, ion energy, and injected plasma power), reference is made to the respective parameters discussed in German Published Patent Application
parameters known from German Patent 197 06 682 C2, which No. 19706682
can be largely used here.

25 One preferred composition of the process gas based on the method discussed in German Published Patent Application No. 19706682, method known from German Patent 197 06 682 C2 is given by the following recipe, for example:

60 sccm ClF_3 + 50 sccm O_2 + 50 sccm SiF_4 + 70 sccm He + 5 sccm NF_3 with constant addition, 20 mTorr pressure, 1000 W
30 high-frequency power at a frequency of 13.56 MHz at the plasma source, 5 W to 20 W high-frequency power at the substrate electrode

or:

100 sccm BrF_3 + 50 sccm O_2 + 50 sccm SiF_4 + 70 sccm He,
plus addition of 30 sccm NF_3 periodically every 30 to 60
5 seconds, ^{which may be} ~~preferably~~ every 45 seconds over a period of 5
seconds each time, 20 mTorr pressure, 1000 W high-
frequency power at the plasma source, 5 W to 30 W high-
frequency power at the substrate electrode.

10 Another exemplary embodiment of the present invention is
initially based on a method ^{discussed in} ~~known from~~ German Patent
~~No. 42 41 045~~ ^{42 41 045-41}. In this known method, anisotropic etching
of silicon is performed using a microwave plasma or a
plasma generated by an inductive plasma source in
15 particular, anisotropic etching being carried out in
separate alternating and successive etching and
polymerization/passivation steps, which are controlled
separately from one another. During the polymerization
steps, a polymer is applied to a lateral structure
20 boundary defined by an etching mask, and this polymer is
stripped away again in the subsequent etching steps.

For this purpose, SF_6 is added to the process gas, at
least from time to time, in particular during the etching
25 steps, as the fluorine-delivering etching gas. During the
polymerization steps octafluorocyclobutane C_4F_8 or
hexafluoropropane C_3F_6 is also added to the process gas,
in particular in the case of an inductively coupled
plasma source, as a passivating gas delivering teflon-
30 forming monomers. This passivating gas forms a teflon-
type protective film as a passivating material, in
particular on the side walls of the etched structures,
protecting them from the etching attack by fluorine

radicals.

This essentially known method is improved according to the present invention by the fact that helium in the form of He^4 or He^3 is also added to the process gas at least from time to time, this addition taking place continuously both during the etching steps and during the passivation steps, since helium as an inert gas in no way affects the process chemistry. The addition of helium guarantees in both steps that undesirable charges are reduced and harmful ion incidence onto the side walls of the etched structures, as explained above, is permanently suppressed or reduced.

As an alternative, ^{addition of the} ~~the~~ helium gas can, however, also take place only during the etching steps or only during the polymerization/passivation steps, i.e., the helium flow is added at determined intervals like the etching and passivating gas, helium gas advantageously being used specifically during the etching steps, since, especially in the case of post-etching, buildup of stronger stray fields in the trenches formed must be effectively suppressed even as they are generated. Helium is ^{maybe} ~~preferably~~ added in both process steps continuously at a constant gas flow rate.

A suitable helium gas flow rate ^{maybe} ~~is normally~~ between 10 and 100 sccm; however, lower or, in particular, higher flow rates are also possible, depending on the suction capacity of the attached turbomolecular vacuum pump of the etching system.

In order to support the stripping of the passivating

material from the etching base, NF_3 can be used, at least from time to time, in this case too, as a substance to consume the passivating material.

5 A ~~preferred~~ composition of the process gas in the case of plasma generation via an inductively coupled plasma source (ICP source) is given by the following recipe, for example, based on German Patent ~~42 41 045 C1~~ ^{43 41 045}:

10 Passivation step:

100 sccm C_3F_8 or C_4F_8 + 50 sccm He over 5 seconds at 12 mTorr pressure, 800 W high-frequency power at the plasma source, no high-frequency power at the substrate electrode.

15 Etching step:

130 sccm SF_6 + 20 sccm O_2 + 50 sccm He over 9 seconds at 20 mTorr pressure, 800 W high-frequency power at the plasma source, 5 W to 20 W high-frequency power at the substrate electrode.

20 Further ~~embodiments~~ of the process gas composition, based on the method according to German Patent ~~42 41 045 C2~~ ^{43 41 045}, are given by the following recipes, in which the

25 fluorine-delivering etching gas SF_6 is replaced by ClF_3 or BrF_3 in the etching steps. Furthermore, NF_3 is added, at least from time to time, to the process gas in the etching steps as an additive that ~~preferentially strips~~ ^{preferentially strips} the passivating teflon material in particular from the

30 etching base. The process parameters in the passivation steps remain unchanged with respect to the previous example.

Etching step:

200 sccm ClF_3 + 10 sccm NF_3 + 50 sccm He over 10 seconds
at 20 mTorr pressure, 1000 W high-frequency power at the
plasma source, 5 W to 20 W high-frequency power at the
substrate electrode

or:

Etching step:

200 sccm ClF_3 + 50 sccm He over 10 seconds at 20 mTorr
pressure, plus 30 sccm NF_3 during the first 3 seconds of
the etching steps, 1000 W high-frequency power at the
plasma source, 5 W to 20 W high-frequency power at the
substrate electrode.

Other recipes use O_2 as an alternative to NF_3 as the
~~preferred~~ additive for stripping the teflon-type
passivating material in particular from the etching base.
Oxygen is considerably less aggressive than the NF_3
fragments obtained in the plasma; therefore, a
considerably higher amount of oxygen must be added, at
least from time to time, to the etching gas.

The considerably lower proportion of oxygen added in a
previous recipe to SF_6 used as an etching gas was used
there only for suppressing precipitation of sulfur in the
gas waste gas zone. However, such precipitation does not
occur when using ClF_3 as the etching gas, so that the
amount of oxygen added to ClF_3 , at least temporarily, is
available in its entirety for stripping the passivating
material, in particular of the etching base. Thus, in the
further passivation steps, which are unchanged regarding
composition and process parameters, the following

advantageous recipe is obtained for the etching steps:

Etching step:

250 sccm ClF_3 + 50 sccm He over 10 seconds plus 100 sccm
5 O_2 during the first 4 seconds, 30 mTorr pressure, 1200 W
high-frequency power at the plasma source, 5 W to 30 W
high-frequency power at the substrate electrode.

or:

Etching step:

200 sccm ClF_3 + 50 sccm He + 50 sccm O_2 over 10 seconds,
30 mTorr pressure, 1000 W high-frequency power at the
15 plasma source, 5 W to 30 W high-frequency power at the
substrate electrode.

Regarding the other process parameters, reference is made
to the respective parameters known from German Patent
No. 424845
20 ~~42 41 045~~ C1, which can otherwise be largely retained.

If hydrogen is to be added to the process gas as the
light, easily ionizable gas, this addition can be
• performed on the basis of German Patent No. 424845
25 ~~42 41 045~~ C1 only
during the passivation steps. Hydrogen added to the
etching gas would react with the released fluorine
radicals to form HF, thus neutralizing the latter, i.e.,
these fluorine radicals would subsequently no longer be
available for an etching reaction with silicon.
Furthermore, due to the oxygen contained in the etching
30 step, there is a danger of explosion due to the formation
of oxyhydrogen gas in the waste gas zone of the etching
system. Finally, the hydrogen added must also be taken
into account in the passivation chemistry of the

passivation step. Since octafluorocyclobutane C_4F_8 or hexafluoropropene C_3F_6 added from time to time, in particular during the passivation steps, to the process gas as a passivating gas becomes poorer in fluorine by the addition of hydrogen, it is advantageous in this case to replace it with a passivating gas that is richer in fluorine. Perfluoroalkanes such as C_2F_6 , C_3F_8 or, preferably, C_4F_{10} for example, are eminently suitable for this purpose.

Thus not only is excess fluorine bound in the passivation steps via the addition of hydrogen, forming HF, while the desired polymerization effect is achieved, but also sufficient hydrogen is always available for an ionization reaction in order to reduce charging phenomena.

In the case of hydrogen addition to the process gas, suitable process parameters are given by the following recipe, on the basis of a method of the type described in German Patent 12 41 045 G1; it must be ensured, by appropriate measures in the waste gas zone, that no danger of explosion arises. For this purpose, a device known per se for catalytic hydrogen conversion is provided between a turbomolecular pump used in the waste gas zone and a vane-type rotary pump, for example.

Passivation step:

100 sccm C_4F_{10} + 70 sccm H_2 over 5 seconds at 12 mTorr pressure, 800 W high-frequency power at the plasma source, no high-frequency power at the substrate electrode.

Etching step:

130 sccm SF_6 + 20 sccm O_2 over 9 seconds at 20 mTorr pressure, 800 W high-frequency power at the plasma source, 5 W to 20 W high-frequency power at the substrate electrode.

5

Another recipe provides, in contrast to the previous unchanged passivation steps, replacement of SF_6 as fluorine-delivering etching gas with BrF_3 , to which NF_3 is added, at least from time to time, as an additive for

10 ~~preferentially~~ stripping the passivating teflon material in particular from the etching base.

Etching step:

150 sccm BrF_3 + 50 sccm Ar or helium (as inert carrier gas) + 10 sccm NF_3 over 10 seconds, 25 mTorr pressure, 1500 W high-frequency power at the plasma source, 5 W to 30 W high-frequency power at the substrate electrode.

By adding helium or hydrogen in order to suppress profile deviations, higher silicon etching rates can also be easily achieved in that the performance parameters of the plasma etching process used, in particular the plasma source power, are scaled up from 800 W to 3000 W, for example.

25

Finally, selectivity between side wall polymer film stripping and etching base polymer stripping during the etching steps is also improved by the process gas addition according to the present invention, in particular by addition of He or H_2 , in that etching base polymer stripping is accelerated and side wall polymer film stripping is reduced. This is one result of the

30 ~~preferred~~ deflection of the lighter ions toward the side

wall, while heavy ions reach the etching base unimpeded.

5 The addition of light and easily ionizable gases such as H_2 , Ne or preferably He is more effective the lower the frequency of the substrate electrode voltage at the substrate electrode, since the lighter ions can follow the variation of the electrical field more easily due to their lower inertia. Applying a high-frequency substrate electrode voltage to the substrate to be etched via a
10 substrate voltage generator (bias power) ~~is known per se~~
may be ~~and is normally~~ used for accelerating the ions obtained in the plasma onto the substrate.

15 In the above exemplary embodiment, the frequency of the high-frequency substrate voltage used is reduced for this purpose, for example, from the usual 13.56 MHz to less than 2 MHz. Thus the difference in mass between the lighter gas component and the other components of the etching gas is used to greater advantage.